

Abnormal Aza-Baylis–Hillman Reaction of N-Tosylated Imines with Ethyl 2,3-Butadienoate and Penta-3,4-dien-2-one

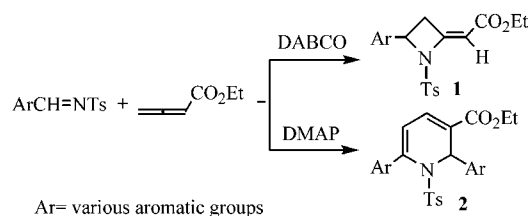
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ABSTRACT



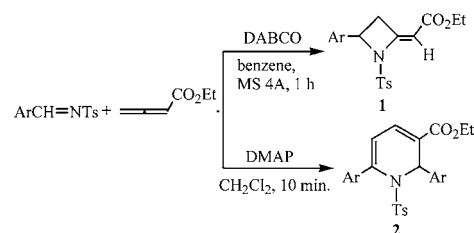
The attempted aza-Baylis–Hillman reaction of N-tosylated imines with ethyl 2,3-butadienoate or penta-3,4-dien-2-one gave azetidinium derivatives in the presence of DABCO. In the case of the aza-Baylis–Hillman reaction of N-tosylated imines with ethyl 2,3-butadienoate catalyzed by DMAP, novel dihydropyridine derivatives were formed.

Investigation of the Baylis–Hillman reaction has made great progress,¹ including development of a catalytic, asymmetric version² since Baylis and Hillman first reported the reaction of acetaldehyde with ethyl acrylate and acrylonitrile in the presence of catalytic amounts of a strong Lewis base such as 1,4-diazabicyclo^{2.2.2}octane (DABCO) in 1972.³ During our investigations on the aza-Baylis–Hillman reaction of N-tosylated imines with α,β -unsaturated enones, we found that either “normal” or “abnormal” aza-Baylis–Hillman adducts could be formed depending on the employed Lewis base under otherwise identical conditions.⁴ In this paper, we wish

to report the unprecedented abnormal aza-Baylis–Hillman reaction of N-tosylated imines with ethyl 2,3-butadienoate or penta-3,4-dien-2-one.^{5–7}

In the aza-Baylis–Hillman reaction of N-tosylated imines with ethyl 2,3-butadienoate catalyzed by DABCO and 4-*N,N*-dimethylpyridine (DMAP), we found that the “abnormal” aza-Baylis–Hillman adducts **1** and **2**, respectively, were exclusively produced, within short reaction times (Scheme 1).

Scheme 1. Aza-Baylis–Hillman Reaction of N-Tosylated Imines with Ethyl 2,3-Butadienoate



(1) (a) Ciganek, E. *Org. React.* **1997**, *51*, 201. (b) Basavaiah, D.; Rao, P. D.; Hyma, R. S. *Tetrahedron* **1996**, *52*, 8001. (c) Basavaiah, D.; Rao, A. J.; Satyanarayana, T. *Chem. Rev.* **2003**, *103*, 811.

(2) (a) Iwabuchi, Y.; Nakatani, M.; Yokoyama, N.; Hatakeyama, S. *J. Am. Chem. Soc.* **1999**, *121*, 10219. (b) Langer, P. *Angew. Chem., Int. Ed.* **2000**, *39*, 3049.

(3) (a) Morita, K.; Suzuki, Z.; Hirose, H. *Bull. Chem. Soc. Jpn.* **1968**, *41*, 2815. (b) Baylis, A. B.; Hillman, M. E. D. German Patent 2,155,113, 1972.

(4) (a) Shi, M.; Xu, Y.-M. *Chem. Commun.* **2001**, 1876. (b) Shi, M.; Xu, Y.-M. *Eur. J. Org. Chem.* **2002**, 696. (c) Shi, M.; Xu, Y.-M.; Zhao, G.-L.; Wu, X.-F. *Eur. J. Org. Chem.* **2002**, 3666. (d) Shi, M.; Xu, Y.-M. *J. Org. Chem.* **2003**, *68*, 4784.

Table 1. Aza-Baylis–Hillman Reaction of N-Tosylated Imines with Ethyl 2,3-Butadienoate Catalyzed by DABCO in Benzene

entry	Ar	1	% yield ^a
1	C ₆ H ₅	a	57 ^b
2	C ₆ H ₅	a	82
3	<i>p</i> -MeC ₆ H ₄	b	92
4	<i>p</i> -EtC ₆ H ₄ ^c	c	90
5	<i>p</i> -MeOC ₆ H ₄	d	75
6	<i>p</i> -FC ₆ H ₄	e	93
7	<i>m</i> -FC ₆ H ₄	f	76
8	<i>p</i> -ClC ₆ H ₄	g	92
9	<i>p</i> -BrC ₆ H ₄	h	99
10	<i>o,m</i> -Cl ₂ C ₆ H ₃	i	99
11	<i>p</i> -CF ₃ C ₆ H ₄	j	85
12	<i>m</i> -NO ₂ C ₆ H ₄	k	87
13	1-naphthyl	l	99
14	3-pyridyl	m	42

^a Isolated yield. ^b In the absence of 4 Å molecular sieve. ^c Reaction was carried out at room temperature for 3 h.

The results are summarized in Table 1 and Table 2. In most cases of the aza-Baylis–Hillman reaction of N-tosylated imines (0.25 mmol) with ethyl 2,3-butadienoate (0.3 mmol) in the presence of DABCO (10 mol %) and 4 Å molecular sieves (100 mg),⁸ the corresponding [4-aryl-1-(toluene-4-sulfonyl)azetidino-2-ylidene]acetic acid ethyl esters **1** were produced in good to high yields within 1 h in benzene with (*E*)-configuration (Table 1, entries 2–14).⁹ Only for *N*-(*p*-methoxybenzylidene)-4-methylbenzenesulfonamide was a prolonged reaction time required (3 h) (Table 1, entry 5). Other nitrogen Lewis bases such as 1,8-diazabicyclo^{5,4,0}-7-undecene (DBU) and triethylamine (Et₃N) showed no catalytic activities for this reaction. However, using DMAP as a Lewis base, we found that the reaction proceeded quickly to produce 2,6-diaryl-1-(toluene-4-sulfonyl)-1,2-dihydropyridine-3-carboxylic acid esters **2** in moderate yields in dichloromethane (DCM) within 10 min (Table 2, entries 1–8).⁹

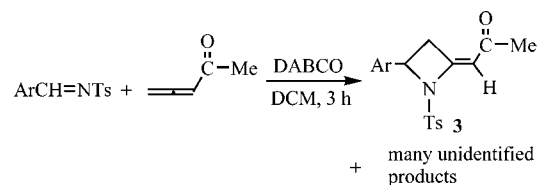
Using penta-3,4-dien-2-one as the substrate, we found that the same reaction also can take place under the same conditions. The results are summarized in Table 3. The corresponding 1-[4-aryl-1-(toluene-4-sulfonyl)azetidino-2-

Table 2. Aza-Baylis–Hillman Reaction of N-Tosylated Imines with Ethyl 2,3-Butadienoate Catalyzed by DMAP

entry	Ar	2	% yield ^a
1	C ₆ H ₅	a	60
2	<i>p</i> -MeC ₆ H ₄	b	44
3	<i>p</i> -MeOC ₆ H ₄	c	30
4	<i>p</i> -FC ₆ H ₄	d	36
5	<i>m</i> -FC ₆ H ₄	e	41
6	<i>p</i> -ClC ₆ H ₄	f	49
7	<i>p</i> -BrC ₆ H ₄	g	34
8	<i>p</i> -CF ₃ C ₆ H ₄	h	45
9	<i>m</i> -NO ₂ C ₆ H ₄	i	31

^a Isolated yield.

ylidene]propan-2-ones **3** were still obtained as the major products in moderate yields with (*E*)-configuration as well, but along with many unidentified products using DABCO as a Lewis base promoter in DCM (Table 3, entries 1–10).

Table 3. Aza-Baylis–Hillman Reaction of N-Tosylated Imines with Penta-3,4-dien-2-one Catalyzed by DABCO

entry	Ar	3	% yield ^a
1	C ₆ H ₅	a	43
2	<i>p</i> -MeC ₆ H ₄	b	38
3	<i>p</i> -MeC ₆ H ₄	c	35
4	<i>p</i> -FC ₆ H ₄	d	34
4	<i>p</i> -FC ₆ H ₄	d	34
5	<i>m</i> -FC ₆ H ₄	e	32
6	<i>p</i> -ClC ₆ H ₄	f	39
7	<i>p</i> -BrC ₆ H ₄	g	40
8	<i>m</i> -NO ₂ C ₆ H ₄	h	32
9	<i>o,m</i> -Cl ₂ C ₆ H ₃	i	31
10	1-naphthyl	j	55

^a Isolated yield.

(5) Using triphenylphosphine or tributylphosphine as a Lewis base in the reaction of allenates with N-tosylated imines generates a [3 + 2] cycloaddition to give five-membered pyrrolidine derivatives. (a) Xu, Z.; Lu, X. *Tetrahedron Lett.* **1997**, *38*, 3461. (b) Xu, Z.; Lu, X. *J. Org. Chem.* **1998**, *63*, 5031. (c) Lu, X.; Zhang, C.; Xu, Z. *Acc. Chem. Res.* **2001**, *34*, 535.

(6) Reaction of 2-methyl-2,3-butadienoate with N-tosylated imines catalyzed by tributylphosphine gave six-membered tetrahydropyridines in high yields. Zhu, X.-F.; Lah, J.; Kwon, O. *J. Am. Chem. Soc.* **2003**, *125*, 4716.

(7) Baylis–Hillman reaction of aldehydes with ethyl 2,3-butadienoate and penta-3,4-dien-2-one in the presence of DABCO gave the normal Baylis–Hillman adduct. See: Tsuboi, S.; Kuroda, H.; Takatsuka, S.; Fukawa, T.; Sakai, T.; Utaoka, M. *J. Org. Chem.* **1993**, *58*, 5952.

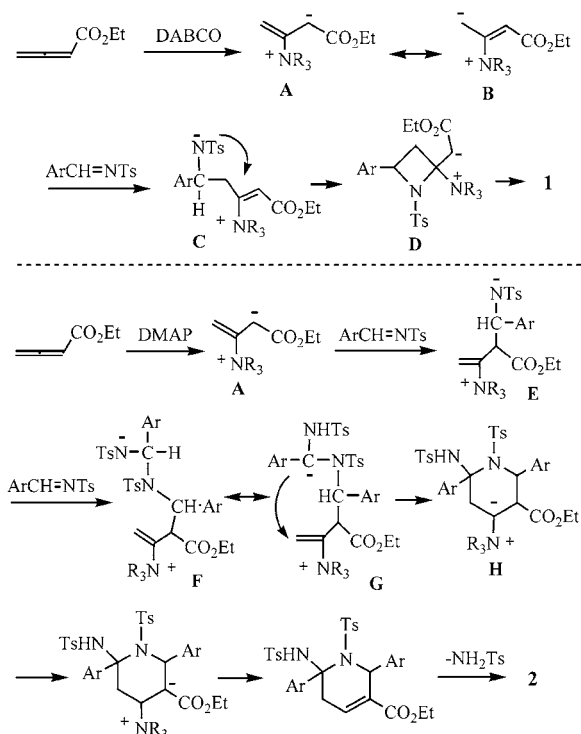
(8) MS 4 Å was used to get rid of ambient water or moisture to improve the isolated yields because the employed N-tosylated imines can decompose to the corresponding aldehydes and N-tosylated amines by ambient water or moisture during a prolonged reaction time (Table 1, entries 1–2).

(9) Solvent and Lewis base effects for these abnormal aza-Baylis–Hillman reactions are summarized in Supporting Information.

Their structures were determined by spectroscopic data, microanalyses, and X-ray diffraction. The ORTEP drawings of **2a** and **3d** are shown in Supporting Information.¹⁰

The mechanism of these unprecedented abnormal aza-Baylis–Hillman reactions has not been unequivocally established, but one plausible explanation is proposed in Scheme 2 on the basis of previous investigations.^{1,5–7} The nitrogen Lewis bases DABCO and DMAP act as a nucleophilic trigger and produce the intermediate **A**, which exists as a resonance-stabilized zwitterionic intermediate **A** (enolate) or **B** (allylic carbanion). In the case of DABCO, the allylic carbanion **B** adds to the N-tosylated imine to give the intermediate **C**, which undergoes an intramolecular

Scheme 2. Plausible Mechanism for the Abnormal Aza-Baylis–Hillman Reaction



nucleophilic attack (Michael type) to give another zwitterionic intermediate **D**. The elimination of NR_3 from **D** affords product **1** and regenerates DABCO. However, in the case of DMAP, the enolate **A** adds to the N-tosylated imine to afford the intermediate **E**, which adds to another N-tosylated imine to give the intermediate **F**. The proton transfer produces the intermediate **G**, and the subsequent intramolecular Michael addition gives the intermediate **H**. Proton shift and NHTs elimination furnish product **2** and regenerate DMAP.¹¹

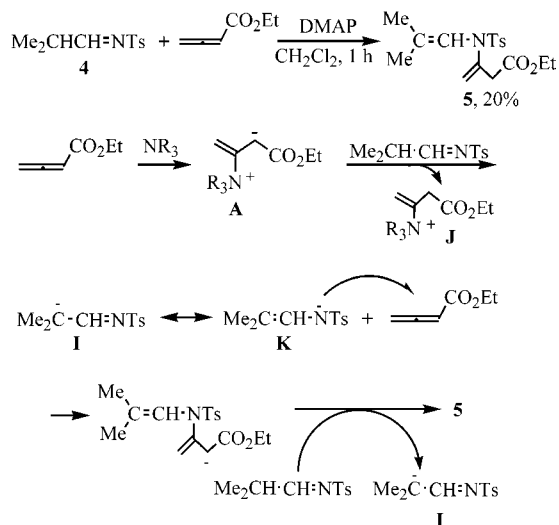
Many aliphatic N-tosylated imines are, in general, labile. We synthesized a relatively stable aliphatic N-tosylated imine **4** according to the literature¹² and used it in this reaction

(10) Crystal data of **2a** have been deposited in CCDC as deposition number 211894. Empirical formula: $\text{C}_{27}\text{H}_{25}\text{NO}_4\text{S}$. Formula weight: 459.54; Crystal color, habit: colorless, prismatic. Crystal dimensions: $0.468 \times 0.375 \times 0.245$ mm. Crystal system: triclinic. Lattice type: primitive. Lattice parameters: $a = 7.6675(8)$ Å, $b = 13.8140(15)$ Å, $c = 22.914(3)$ Å, $\alpha = 92.491(2)^\circ$, $\beta = 93.885(2)^\circ$, $\gamma = 98.992(2)^\circ$, $V = 2388.1(4)$ Å³. Space group: *P*-1. $Z = 4$. $D_{\text{calcd}} = 1.278$ g/cm³. $F_{000} = 968$. Diffractometer: Rigaku AFC7R. Residuals: $R, R_w = 0.0605, 0.1271$. The crystal data of **3d** have been deposited in CCDC as deposition number 211895. Empirical formula: $\text{C}_{19}\text{H}_{18}\text{NO}_3\text{FS}$. Formula weight: 359.40. Crystal color, habit: colorless, prismatic. Crystal dimensions: $0.478 \times 0.237 \times 0.226$ mm. Crystal system: monoclinic. Lattice type: primitive. Lattice parameters: $a = 6.3576(9)$ Å, $b = 8.9534(13)$ Å, $c = 31.589(5)$ Å, $\alpha = 90^\circ$, $\beta = 91.829(3)^\circ$, $\gamma = 90^\circ$, $V = 1797.2(4)$ Å³. Space group: *P2*(1)/*n*. $Z = 4$. $D_{\text{calcd}} = 1.328$ g/cm³. $F_{000} = 752$. Diffractometer: Rigaku AFC7R. Residuals: $R, R_w = 0.0568, 0.1278$.

(11) We believe that the nitrogen Lewis bases DABCO and DMAP should have different catalytic abilities in the Baylis–Hillman reaction as the promoters because they have different nucleophilicities and basicities. At the present stage, we cannot give a clear-cut explanation of this interesting Lewis base effect.

(12) Chemla, F.; Hebbe, V.; Normant, J. F. *Synthesis* **2000**, 1, 75.

Scheme 3. Aza-Baylis–Hillman Reaction of Aliphatic N-Tosylated Imine **4** with Ethyl 2,3-Butadienoate



catalyzed by DMAP. However, we found that the corresponding 3-[(2-methylpropenyl)(toluene-4-sulfonyl)amino]-but-3-enoic acid ethyl ester **5** was produced in this reaction in 20% yield (Scheme 3). A mechanism is shown below. The allylic H of **4** is abstracted by intermediate **A** to give intermediates **I** and **J**. The intermediate **I** can produce another more stable anion **K**. The reaction between intermediate **K** and **4** furnishes product **5**.

In this paper, we disclose the unprecedented “abnormal” aza-Baylis–Hillman reactions of N-tosylated imines with ethyl 2,3-butadienoate or penta-3,4-dien-2-one by means of different nitrogen Lewis bases under mild conditions. Most of these aza-Baylis–Hillman reactions reached completion at 20 °C within 10 min to 3 h, giving the unexpected “abnormal” aza-Baylis–Hillman adducts **1**, **2**, or **3** in moderate to excellent yields. The importance of this finding was exemplified by their expedient formal [2 + 2] and [4 + 2] annulation reactions with N-tosylated imines to give the azetidine and dihydropyridine derivatives under mild conditions.¹³ Efforts are underway to elucidate the mechanistic details and Lewis base effects of this abnormal aza-Baylis–Hillman reaction.

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Supporting Information Available: ¹³C and ¹H NMR spectral and analytic data for compounds **1–3** and ORTEP structures for **2a** and **3d**. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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(13) Reaction of methylallene with N-tosylated imines gives alkylideneazetidines in 3% yield at 130 °C. See: Baumann, H.; Duthaler, R. O. *Helv. Chem. Acta* **1988**, 71, 1025. The reaction of ketenes with imines gives azetidinones and 2:1 adducts. See: Mukerjee, A. K.; Srivastava, R. C. *Synthesis* **1973**, 327.